

## Introduction

In terms of the General Code of Conduct of the Financial Advisory and Intermediary Services Act, 2002 (FAIS Act), 10X Investments (Pty) Ltd (Registration number: 2005/033587/07) is required to disclose the information in this document to you. If there is anything in this document that you do not understand, please request further information from us. A copy of this document can be downloaded from the website at [www.10x.co.za](http://www.10x.co.za).

## Authorised financial service provider

10X Investments (Pty) Ltd is an authorised financial service provider (FSP number: 28250) in terms of Section 8 of the FAIS Act. A copy of our license certificate is available on request. Our physical and postal address is the Terraces 14<sup>th</sup> Floor, Office 01401, 34 Bree Street, Cape Town, 8001. Should you have any queries or should you wish to receive a copy of our license certificate, kindly contact our Compliance Officer on 021 412 1010, alternatively by way of email to [compliance@10x.co.za](mailto:compliance@10x.co.za).

## Financial services and products

10X Investments (Pty) Ltd is authorised to render advisory, intermediary, and discretionary financial services in respect of the following financial products:

Category Description	Advice Automated	Advice Non-automated	Intermediary Scripted	Intermediary Other
<b>Category 1</b>				
Long-Term Insurance subcategory A		X		X
Long-Term Insurance subcategory B1		X		X
Long-term insurance subcategory B2		X		X
Long-term Insurance subcategory B2-A		X		X
Long-term Insurance subcategory B1-A		X		X
Long-Term Insurance subcategory C		X		X
Retail Pension Benefits		X		X
Pension Funds Benefits		X		X
Shares		X		X
Money market instruments		X		X
Debentures and securitised debt		X		X
Warrants, certificates and other instruments		X		X
Bonds		X		X
Derivative instruments		X		X
Participatory interests in a collective investment scheme		X		X
<b>Category II - discretionary FSP</b>				
Retail Pension Benefits				X
Pension Funds Benefits				X

10X Investments (Pty) Ltd: The Terraces, 14th Floor, Office 01401, Cnr Bree and Waterkant Street, Cape Town, 8001  
T: 021 412 1010 E: [info@10x.co.za](mailto:info@10x.co.za)

Sonja De Bruyn (Non-executive chair), Tobias van Heerden (CEO), Samer Salty (Director), Marc Balkin (Non-executive director), Burchert Johannes (Non-executive director), Yvonne Maitin (Non-executive director), Kelly Ford (Non-executive director), Hendrik Beets (Non-executive director), Jacob Myburgh (Non-executive director)

10X Investments is a licensed Financial Services Provider #28250 and S13B Pension Funds Administrator #24/444 | Registration #2005/033587/07

Shares	X
Money market instruments	X
Debentures and securitised debt	X
Warrants, certificates, and other instruments	X
Bonds	X
Derivative instruments	X
Participatory interests in one or more collective investment schemes	X

## Details of product suppliers

10X Investments (Pty) Ltd does not make use of any product suppliers or market any products of any product suppliers.

## Authorised key individuals and representatives

The Financial Sector Conduct Authority (FSCA) has duly authorised the following key individuals and representatives to render financial services as defined in terms of the FAIS Act in respect of the financial products contained listed in the tables below:

### Key individuals

#### ▪ Geoffrey Graham Blount

Class of business	Select	Category I	Category II	Category IIA	Category III	Category IV
Long-Term Insurance	✓	✓				
Pension Fund Benefit	✓	✓	✓			
Investments	✓	✓	✓			

#### ▪ Christopher James Eddy

Class of business	Select	Category I	Category II	Category IIA	Category III	Category IV
Long-Term Insurance	✓	✓				
Investments	✓		✓			

#### ▪ Petrus Johannes Janzen-De-Wet

Class of business	Select	Category I	Category II	Category IIA	Category III	Category IV
Long-Term Insurance	✓	✓				
Pension Fund Benefit	✓	✓				



10X Investments (Pty) Ltd: The Terraces, 14th Floor, Office 01401, Cnr Bree and Waterkant Street, Cape Town, 8001  
T: 021 412 1010 E: [info@10x.co.za](mailto:info@10x.co.za)

Sonja De Bruyn (Non-executive chair), Tobias van Heerden (CEO), Samer Salty (Director), Marc Balkin (Non-executive director), Burchert Johannes (Non-executive director), Kelly Ford (Non-executive director), Hendrik Beets (Non-executive director), Jacob Myburgh (Non-executive director)

10X Investments is a licensed Financial Services Provider #28250 and S13B Pension Funds Administrator #24/444 | Registration #2005/033587/07

## Representatives

### ▪ Anton Norman Karl Eser

Category	Sub-Category	Category Description	Advice	Intermediary Scripted	Intermediary Other	Services Under Supervision
1	1	Long-Term Insurance subcategory A	X		X	X
1	3	Long-Term Insurance subcategory B1	X		X	X
1	4	Long-Term Insurance subcategory C	X		X	X
1	5	Retail Pension Benefits	X		X	X
1	7	Pension Funds Benefits	X		X	X
1	8	Shares	X		X	X
1	9	Money market instruments	X		X	X
1	10	Debentures and securitised debt	X		X	X
1	11	Warrants, certificates and other instruments	X		X	X
1	12	Bonds	X		X	X
1	13	Derivative instruments	X		X	X
1	14	Participatory interests in a collective investment scheme	X		X	X
2	3	Retail Pension Benefits			X	X
2	4	Pension Funds Benefits			X	X
2	5	Shares			X	X
2	6	Money market instruments			X	X
2	7	Debentures and securitised debt			X	X
2	8	Warrants, certificates and other instruments			X	X
2	9	Bonds			X	X
2	10	Derivative instruments			X	X
2	11	Participatory interests in one or more collective investment schemes			X	X

### ▪ Kelin Christopher Pottier

Category	Sub-Category	Category Description	Advice	Intermediary Scripted	Intermediary Other	Services Under Supervision
1	4	Long-Term Insurance subcategory C	X		X	X
1	8	Shares	X		X	X
1	9	Money market instruments	X		X	X
1	10	Debentures and securitised debt	X		X	X
1	11	Warrants, certificates and other instruments	X		X	X
1	12	Bonds	X		X	X
1	13	Derivative instruments	X		X	X



10X Investments (Pty) Ltd: The Terraces, 14th Floor, Office 01401, Cnr Bree and Waterkant Street, Cape Town, 8001  
T: 021 412 1010 E: [info@10x.co.za](mailto:info@10x.co.za)

Sonja De Bruyn (Non-executive chair), Tobias van Heerden (CEO), Samer Salty (Director), Marc Balkin (Non-executive director), Burchert Johannes (Non-executive director), Kelly Ford (Non-executive director), Hendrik Beets (Non-executive director), Jacob Myburgh (Non-executive director)

10X Investments is a licensed Financial Services Provider #28250 and S13B Pension Funds Administrator #24/444 | Registration #2005/033587/07

1	14	Participatory interests in a collective investment scheme	X		X	X
2	5	Shares			X	X
2	6	Money market instruments			X	X
2	7	Debentures and securitised debt			X	X
2	8	Warrants, certificates and other instruments			X	X
2	9	Bonds			X	X
2	10	Derivative instruments			X	X
2	11	Participatory interests in one or more collective investment schemes			X	X

#### ▪ Andre Tuck

Category	Sub-Category	Category Description	Advice	Intermediary Scripted	Intermediary Other	Services Under Supervision
1	1	Long-Term Insurance subcategory A	X		X	
1	3	Long-Term Insurance subcategory B1	X		X	
1	20	Long-Term Insurance subcategory B2	X		X	
1	21	Long-Term Insurance subcategory B2-A	X		X	
1	22	Long-Term Insurance subcategory B1-A	X		X	
1	5	Retail Pension Benefits	X		X	
1	7	Pension Funds Benefits	X		X	
1	8	Shares	X		X	
1	9	Money market instruments	X		X	
1	10	Debentures and securitised debt	X		X	
1	11	Warrants, certificates, and other instruments	X		X	
1	12	Bonds	X		X	
1	13	Derivative instruments	X		X	
1	14	Participatory <u>interests</u> in a collective investment scheme	X		X	

#### ▪ Rukeyah Parker

Category	Sub-Category	Category Description	Advice	Intermediary Scripted	Intermediary Other	Services Under Supervision
1	1	Long-Term Insurance subcategory A	X		X	X
1	3	Long-Term Insurance subcategory B1	X		X	X
1	21	Long-term Insurance subcategory B2-A	X		X	X
1	5	Retail Pension Benefits	X		X	X
1	7	Pension Funds Benefits	X		X	X



10X Investments (Pty) Ltd: The Terraces, 14th Floor, Office 01401, Cnr Bree and Waterkant Street, Cape Town, 8001  
T: 021 412 1010 E: [info@10x.co.za](mailto:info@10x.co.za)

Sonja De Bruyn (Non-executive chair), Tobias van Heerden (CEO), Samer Salty (Director), Marc Balkin (Non-executive director), Burchert Johannes (Non-executive director), Kelly Ford (Non-executive director), Hendrik Beets (Non-executive director), Jacob Myburgh (Non-executive director)

10X Investments is a licensed Financial Services Provider #28250 and S13B Pension Funds Administrator #24/444 | Registration #2005/033587/07

1	14	Participatory interest in a collective investment scheme	X		X	X
---	----	--	---	--	---	---

#### ▪ Susara Botha

Category	Sub-Category	Category Description	Advice	Intermediary Scripted	Intermediary Other	Services Under Supervision
1	1	Long-Term Insurance subcategory A	X		X	
1	3	Long-Term Insurance subcategory B1	X		X	
1	22	Long-Term Insurance subcategory B1-A	X		X	
1	5	Retail Pension Benefits	X		X	
1	7	Pension Fund Benefits	X		X	
1	14	Participatory interests in a collective investment scheme	X		X	

#### ▪ Bokang Koela

Category	Sub-Category	Category Description	Advice	Intermediary Scripted	Intermediary Other	Services Under Supervision
2	5	Shares			X	
2	6	Money market instruments			X	
2	7	Debentures and securitised debt			X	
2	8	Warrants, certificates and other instruments			X	
2	9	Bonds			X	
2	10	Derivative instruments			X	
2	11	Participatory interests in one or more collective investment schemes			X	

#### ▪ Michael Jean-Robert Rossouw

Category	Sub-Category	Category Description	Advice	Intermediary Scripted	Intermediary Other	Services Under Supervision
1	1	Long-Term Insurance subcategory A	X		X	
1	3	Long-Term Insurance subcategory B1	X		X	
1	20	Long-Term Insurance subcategory B2	X		X	
1	21	Long-Term Insurance subcategory B2-A	X		X	
1	22	Long-Term Insurance subcategory B1-A	X		X	
1	5	Retail Pension Benefits	X		X	
1	7	Pension Fund Benefits	X		X	
1	8	Shares	X		X	
1	9	Money market instruments	X		X	
1	10	Debentures and securitised debt	X		X	
1	11	Warrants, certificates, and other instruments	X		X	



10X Investments (Pty) Ltd: The Terraces, 14th Floor, Office 01401, Cnr Bree and Waterkant Street, Cape Town, 8001  
T: 021 412 1010 E: [info@10x.co.za](mailto:info@10x.co.za)

Sonja De Bruyn (Non-executive chair), Tobias van Heerden (CEO), Samer Salty (Director), Marc Balkin (Non-executive director), Burchert Johannes (Non-executive director), Kelly Ford (Non-executive director), Hendrik Beets (Non-executive director), Jacob Myburgh (Non-executive director)

10X Investments is a licensed Financial Services Provider #28250 and S13B Pension Funds Administrator #24/444 | Registration #2005/033587/07

1	12	Bonds	X	X
1	13	Derivative instruments	X	X
1	14	Participatory interests in a collective investment scheme	X	X
2	3	Retail Pension Benefits		X
2	4	Pension Fund Benefits		X
2	5	Shares		X
2	6	Money market instruments		X
2	7	Debentures and securitised debt		X
2	9	Bonds		X
2	11	Participatory interests in one or more collective investment schemes		X

▪ Bianca Robyn Philogene

Category	Sub-Category	Category Description	Advice	Intermediary Scripted	Intermediary Other	Services Under Supervision
1	1	Long-Term Insurance subcategory A	X		X	
1	3	Long-Term Insurance subcategory B1	X		X	
1	20	Long-Term Insurance subcategory B2	X		X	
1	21	Long-Term Insurance subcategory B2-A	X		X	
1	22	Long-Term Insurance subcategory B1-A	X		X	
1	5	Retail Pension Benefits	X		X	
1	7	Pension Fund Benefits	X		X	
1	8	Shares	X		X	
1	9	Money market instruments	X		X	
1	10	Debentures and securitised debt	X		X	
1	11	Warrants, certificates and other instruments	X		X	
1	12	Bonds	X		X	
1	13	Derivative instruments	X		X	
1	14	Participatory interests in a collective investment scheme	X		X	
2	3	Retail Pension Benefits			X	
2	4	Pension Fund Benefits			X	
2	5	Shares			X	
2	6	Money market instruments			X	
2	7	Debentures and securitised debt			X	
2	9	Bonds			X	
2	11	Participatory interests in one or more collective investment schemes			X	



10X Investments (Pty) Ltd: The Terraces, 14th Floor, Office 01401, Cnr Bree and Waterkant Street, Cape Town, 8001  
T: 021 412 1010 E: [info@10x.co.za](mailto:info@10x.co.za)

Sonja De Bruyn (Non-executive chair), Tobias van Heerden (CEO), Samer Salty (Director), Marc Balkin (Non-executive director), Burchert Johannes (Non-executive director), Kelly Ford (Non-executive director), Hendrik Beets (Non-executive director), Jacob Myburgh (Non-executive director)

10X Investments is a licensed Financial Services Provider #28250 and S13B Pension Funds Administrator #24/444 | Registration #2005/033587/07

▪ Brett Christopher Reav Mackay

Category	Sub Category	Category Description	Advice	Intermediary Scripted	Intermediary Other	Services Under Supervision
1	1	Long-Term Insurance subcategory A	X		X	
1	3	Long-Term Insurance subcategory B1	X		X	
1	20	Long-Term Insurance subcategory B2	X		X	
1	21	Long-Term Insurance subcategory B2-A	X		X	
1	22	Long-Term Insurance subcategory B1-A	X		X	
1	5	Retail Pension Benefits	X		X	
1	7	Pension Fund Benefits	X		X	
1	8	Shares	X		X	
1	9	Money market instruments	X		X	
1	10	Debentures and securitised debt	X		X	
1	11	Warrants, certificates and other instruments	X		X	
1	12	Bonds	X		X	
1	13	Derivative instruments	X		X	
1	14	Participatory interests in a collective investment scheme	X		X	

▪ Khwezi Jackson

Category	Sub Category	Category Description	Advice	Intermediary Scripted	Intermediary Other	Services Under Supervision
1	1	Long-Term Insurance subcategory A	X		X	
1	3	Long-Term Insurance subcategory B1	X		X	
1	20	Long-Term Insurance subcategory B2	X		X	
1	21	Long-Term Insurance subcategory B2-A	X		X	
1	22	Long-Term Insurance subcategory B1-A	X		X	
1	5	Retail Pension Benefits	X		X	
1	7	Pension Fund Benefits	X		X	
1	8	Shares	X		X	
1	9	Money market instruments	X		X	
1	10	Debentures and securitised debt	X		X	
1	11	Warrants, certificates and other instruments	X		X	
1	12	Bonds	X		X	
1	13	Derivative instruments	X		X	
1	14	Participatory interests in a collective investment scheme	X		X	

▪ Asavela Gwele



10X Investments (Pty) Ltd: The Terraces, 14th Floor, Office 01401, Cnr Bree and Waterkant Street, Cape Town, 8001  
T: 021 412 1010 E: [info@10x.co.za](mailto:info@10x.co.za)

Sonja De Bruyn (Non-executive chair), Tobias van Heerden (CEO), Samer Salty (Director), Marc Balkin (Non-executive director), Burchert Johannes (Non-executive director), Kelly Ford (Non-executive director), Hendrik Beets (Non-executive director), Jacob Myburgh (Non-executive director)

10X Investments is a licensed Financial Services Provider #28250 and S13B Pension Funds Administrator #24/444 | Registration #2005/033587/07

Category	Sub Category	Category Description	Advice	Intermediary Scripted	Intermediary Other	Services Under Supervision
1	1	Long-Term Insurance subcategory A	X		X	X
1	3	Long-Term Insurance subcategory B1	X		X	X
1	20	Long-Term Insurance subcategory B2	X		X	X
1	21	Long-Term Insurance subcategory B2-A	X		X	X
1	22	Long-Term Insurance subcategory B1-A	X		X	X
1	5	Retail Pension Benefits	X		X	X
1	7	Pension Fund Benefits	X		X	X
1	8	Shares	X		X	X
1	9	Money market instruments	X		X	X
1	10	Debentures and securitised debt	X		X	X
1	11	Warrants, certificates and other instruments	X		X	X
1	12	Bonds	X		X	X
1	13	Derivative instruments	X		X	X
1	14	Participatory interests in a collective investment scheme	X		X	X

▪ Jonathan Sierra

Category	Sub Category	Category Description	Advice	Intermediary Scripted	Intermediary Other	Services Under Supervision
1	1	Long-Term Insurance subcategory A	X		X	
1	3	Long-Term Insurance subcategory B1	X		X	
1	20	Long-Term Insurance subcategory B2	X		X	
1	21	Long-Term Insurance subcategory B2-A	X		X	
1	22	Long-Term Insurance subcategory B1-A	X		X	
1	5	Retail Pension Benefits	X		X	
1	7	Pension Fund Benefits	X		X	
1	8	Shares	X		X	
1	9	Money market instruments	X		X	
1	10	Debentures and securitised debt	X		X	
1	11	Warrants, certificates and other instruments	X		X	
1	12	Bonds	X		X	
1	13	Derivative instruments	X		X	
1	14	Participatory interests in a collective investment scheme	X		X	

▪ Christopher James Eddy

Category	Sub Category	Category Description	Advice	Intermediary Scripted	Intermediary Other	Services Under Supervision
----------	--------------	----------------------	--------	-----------------------	--------------------	----------------------------



10X Investments (Pty) Ltd: The Terraces, 14th Floor, Office 01401, Cnr Bree and Waterkant Street, Cape Town, 8001  
T: 021 412 1010 E: [info@10x.co.za](mailto:info@10x.co.za)

Sonja De Bruyn (Non-executive chair), Tobias van Heerden (CEO), Samer Salty (Director), Marc Balkin (Non-executive director), Burchert Johannes (Non-executive director), Kelly Ford (Non-executive director), Hendrik Beets (Non-executive director), Jacob Myburgh (Non-executive director)

10X Investments is a licensed Financial Services Provider #28250 and S13B Pension Funds Administrator #24/444 | Registration #2005/033587/07



1	4	Long-Term Insurance subcategory C	X	X
1	8	Shares	X	X
1	9	Money market instruments	X	X
1	10	Debentures and securitised debt	X	X
1	11	Warrants, certificates and other instruments	X	X
1	12	Bonds	X	X
1	13	Derivative instruments	X	X
1	14	Participatory interests in a collective investment scheme	X	X
2	5	Shares		X
2	6	Money market instruments		X
2	7	Debentures and securitised debt		X
2	8	Warrants, certificates and other instruments		X
2	9	Bonds		X
2	10	Derivative instruments		X
2	11	Participatory interests in one or more collective investment schemes		X

▪ Petrus Johannes Janzen-De-Wet

Category	Sub Category	Category Description	Advice	Intermediary Scripted	Intermediary Other	Services Under Supervision
1	1	Long-Term Insurance subcategory A	X		X	
1	3	Long-Term Insurance subcategory B1	X		X	
1	20	Long-Term Insurance subcategory B2	X		X	
1	21	Long-Term Insurance subcategory B2-A	X		X	
1	22	Long-Term Insurance subcategory B1-A	X		X	
1	5	Retail Pension Benefits	X		X	
1	7	Pension Fund Benefits	X		X	
1	14	Participatory interests in a collective investment scheme	X		X	
2	3	Retail Pension Benefits			X	
2	4	Pension Fund Benefits			X	
2	5	Shares			X	
2	6	Money market instruments			X	
2	7	Debentures and securitised debt			X	
2	9	Bonds			X	
2	11	Participatory interests in one or more collective investment schemes			X	

▪ Ishani Khoosal-Kala



10X Investments (Pty) Ltd: The Terraces, 14th Floor, Office 01401, Cnr Bree and Waterkant Street, Cape Town, 8001  
T: 021 412 1010 E: [info@10x.co.za](mailto:info@10x.co.za)

Sonja De Bruyn (Non-executive chair), Tobias van Heerden (CEO), Samer Salty (Director), Marc Balkin (Non-executive director), Burchert Johannes (Non-executive director), Kelly Ford (Non-executive director), Hendrik Beets (Non-executive director), Jacob Myburgh (Non-executive director)

10X Investments is a licensed Financial Services Provider #28250 and S13B Pension Funds Administrator #24/444 | Registration #2005/033587/07

Category	Sub Category	Category Description	Advice	Intermediary Scripted	Intermediary Other	Services Under Supervision
1	1	Long-Term Insurance subcategory A	X		X	X
1	3	Long-Term Insurance subcategory B1	X		X	X
1	20	Long-Term Insurance subcategory B2	X		X	X
1	21	Long-Term Insurance subcategory B2-A	X		X	X
1	22	Long-Term Insurance subcategory B1-A	X		X	X
1	5	Retail Pension Benefits	X		X	X
1	7	Pension Fund Benefits	X		X	X
1	14	Participatory interests in a collective investment scheme	X		X	X
2	3	Retail Pension Benefits			X	X
2	4	Pension Fund Benefits			X	X
2	5	Shares			X	X
2	6	Money market instruments			X	X
2	7	Debentures and securitised debt			X	X
2	8	Warrants, certificates and other instruments			X	X
2	9	Bonds			X	X
2	11	Participatory interests in one or more collective investment schemes			X	X

■ Craig Gibson

Category	Sub Category	Category Description	Advice	Intermediary Scripted	Intermediary Other	Services Under Supervision
1	1	Long-Term Insurance subcategory A	X		X	
1	3	Long-Term Insurance subcategory B1	X		X	
1	22	Long-Term Insurance subcategory B1-A	X		X	
1	4	Long-Term Insurance subcategory C	X		X	
1	5	Retail Pension Benefits	X		X	
1	7	Pension Fund Benefits	X		X	
1	8	Shares	X		X	
1	9	Money market instruments	X		X	
1	10	Debentures and securitised debt	X		X	
1	11	Warrants, certificates and other instruments	X		X	
1	12	Bonds	X		X	
1	13	Derivative instruments	X		X	
1	14	Participatory interests in a collective investment scheme	X		X	
2	3	Retail Pension Benefits			X	X
2	4	Pension Fund Benefits			X	X



10X Investments (Pty) Ltd: The Terraces, 14th Floor, Office 01401, Cnr Bree and Waterkant Street, Cape Town, 8001  
T: 021 412 1010 E: [info@10x.co.za](mailto:info@10x.co.za)

Sonja De Bruyn (Non-executive chair), Tobias van Heerden (CEO), Samer Salty (Director), Marc Balkin (Non-executive director), Burchert Johannes (Non-executive director), Kelly Ford (Non-executive director), Hendrik Beets (Non-executive director), Jacob Myburgh (Non-executive director)

10X Investments is a licensed Financial Services Provider #28250 and S13B Pension Funds Administrator #24/444 | Registration #2005/033587/07

2	5	Shares			X	X
2	6	Money market instruments			X	X
2	7	Debentures and securitised debt			X	X
2	8	Warrants, certificates and other instruments			X	X
2	9	Bonds			X	X
2	10	Derivative instruments			X	X
2	11	Participatory interests in one or more collective investment schemes			X	X

■ Michelle Jose Noth

Category	Sub Category	Category Description	Advice	Intermediary Scripted	Intermediary Other	Services Under Supervision
1	1	Long-Term Insurance subcategory A	X		X	X
1	3	Long-Term Insurance subcategory B1	X		X	X
1	4	Long-Term Insurance subcategory C	X		X	X
1	8	shares	X		X	
1	9	Money market instruments	X		X	
1	10	Debentures and securitised debt	X		X	
1	11	Warrants, certificates, and other instruments	X		X	
1	12	Bonds	X		X	
1	13	Derivative instruments	X		X	
1	14	Participatory interests in a collective investment scheme	X		X	
2	5	Shares			X	
2	6	Money market instruments			X	
2	7	Debentures and securitised debt			X	
2	8	Warrants, certificates, and other instruments			X	
2	9	Bonds			X	
2	10	Derivative instruments			X	
2	11	Participatory interests in one or more collective investment schemes			X	

■ Christopher Rule

Category	Sub Category	Category Description	Advice	Intermediary Scripted	Intermediary Other	Services Under Supervision
1	1	Long-Term Insurance subcategory A	X		X	X
1	3	Long-Term Insurance subcategory B1	X		X	X
1	4	Long-Term Insurance subcategory C	X		X	X
1	8	Shares	X		X	
1	9	Money market instruments	X		X	
1	10	Debentures and securitised debt	X		X	



10X Investments (Pty) Ltd: The Terraces, 14th Floor, Office 01401, Cnr Bree and Waterkant Street, Cape Town, 8001  
T: 021 412 1010 E: [info@10x.co.za](mailto:info@10x.co.za)

Sonja De Bruyn (Non-executive chair), Tobias van Heerden (CEO), Samer Salty (Director), Marc Balkin (Non-executive director), Burchert Johannes (Non-executive director), Kelly Ford (Non-executive director), Hendrik Beets (Non-executive director), Jacob Myburgh (Non-executive director)

10X Investments is a licensed Financial Services Provider #28250 and S13B Pension Funds Administrator #24/444 | Registration #2005/033587/07

1	11	Warrants, certificates, and other instruments	X	X
1	12	Bonds	X	X
1	13	Derivative instruments	X	X
1	14	Participatory interests in a collective investment scheme	X	X
2	5	Shares		X
2	6	Money market instruments		X
2	7	Debentures and securitised debt		X
2	8	Warrants, certificates, and other instruments		X
2	9	Bonds		X
2	10	Derivative instruments		X
2	11	Participatory interests in one or more collective investment schemes		X

▪ Stuart Jefferd Wocke

Category	Sub Category	Category Description	Advice	Intermediary Scripted	Intermediary Other	Services Under Supervision
1	1	Long-Term Insurance subcategory A	X		X	X
1	3	Long-Term Insurance subcategory B1	X		X	X
1	4	Long-Term Insurance subcategory C	X		X	X
1	8	Shares	X		X	
1	9	Money market instruments	X		X	
1	10	Debentures and securitised debt	X		X	
1	11	Warrants, certificates, and other instruments	X		X	
1	12	Bonds	X		X	
1	13	Derivative instruments	X		X	
1	14	Participatory interests in a collective investment scheme	X		X	
2	5	Shares			X	
2	6	Money market instruments			X	
2	7	Debentures and securitised debt			X	
2	8	Warrants, certificates, and other instruments			X	
2	9	Bonds			X	
2	10	Derivative instruments			X	
2	11	Participatory interests in one or more collective investment schemes			X	

▪ Pontsho Moloji

Category	Sub Category	Category Description	Advice	Intermediary Scripted	Intermediary Other	Services Under Supervision
1	1	Long-Term Insurance subcategory A	X		X	X



10X Investments (Pty) Ltd: The Terraces, 14th Floor, Office 01401, Cnr Bree and Waterkant Street, Cape Town, 8001  
T: 021 412 1010 E: [info@10x.co.za](mailto:info@10x.co.za)

Sonja De Bruyn (Non-executive chair), Tobias van Heerden (CEO), Samer Salty (Director), Marc Balkin (Non-executive director), Burchert Johannes (Non-executive director), Kelly Ford (Non-executive director), Hendrik Beets (Non-executive director), Jacob Myburgh (Non-executive director)

10X Investments is a licensed Financial Services Provider #28250 and S13B Pension Funds Administrator #24/444 | Registration #2005/033587/07

1	3	Long-Term Insurance subcategory B1	X	X	X
1	4	Long-Term Insurance subcategory C	X	X	X
1	8	Shares	X	X	X
1	9	Money market instruments	X	X	X
1	10	Debentures and securitised debt	X	X	X
1	11	Warrants, certificates, and other instruments	X	X	X
1	12	Bonds	X	X	X
1	13	Derivative instruments	X	X	X
1	14	Participatory interests in a collective investment scheme	X	X	X

#### ▪ Kananelo Sean Matela

Category	Sub Category	Category Description	Advice	Intermediary Scripted	Intermediary Other	Services Under Supervision
1	1	Long-Term Insurance subcategory A	X		X	X
1	3	Long-Term Insurance subcategory B1	X		X	X
1	4	Long-Term Insurance subcategory C	X		X	X
1	8	Shares	X		X	X
1	9	Money market instruments	X		X	X
1	10	Debentures and securitised debt	X		X	X
1	11	Warrants, certificates, and other instruments	X		X	X
1	12	Bonds	X		X	X
1	13	Derivative instruments	X		X	X
1	14	Participatory interests in a collective investment scheme	X		X	X

## Disclosure on 10X Living Annuity

10X Investments is the administrator and investment manager of the 10X Living Annuity, which is underwritten by Guardrisk Life Limited, a wholly owned subsidiary of MMI Holdings.

## Conflict of interest management policy

10X Investments (Pty) Ltd has adopted and implemented a conflict-of-interest management policy that complies with the provisions of the FAIS Act. The conflict-of-interest management policy is published on the website of 10X Investments (Pty) Ltd at [www.10x.co.za](http://www.10x.co.za). The conflict-of-interest management policy can also be obtained from 10X Investments (Pty) Ltd on 021 412 1010.

## Indemnity cover

10X Investments (Pty) Ltd holds Professional Indemnity and Fidelity cover.



10X Investments (Pty) Ltd: The Terraces, 14th Floor, Office 01401, Cnr Bree and Waterkant Street, Cape Town, 8001  
T: 021 412 1010 E: [info@10x.co.za](mailto:info@10x.co.za)

Sonja De Bruyn (Non-executive chair), Tobias van Heerden (CEO), Samer Salty (Director), Marc Balkin (Non-executive director), Burchert Johannes (Non-executive director), Kelly Ford (Non-executive director), Hendrik Beets (Non-executive director), Jacob Myburgh (Non-executive director)

10X Investments is a licensed Financial Services Provider #28250 and S13B Pension Funds Administrator #24/444 | Registration #2005/033587/07

## Financial Intelligence Centre Act, 2001 (FICA)

10X Investments (Pty) Ltd is a registered accountable institution. In terms of FICA, we are required to identify our prospective clients, verify the given information, and keep records of the verifying documents. We are also obliged to report suspicious and unusual transactions that may facilitate money laundering to the authorities.

## Complaints

Should you wish to pursue a complaint against a key individual or representative of 10X Investments (Pty) Ltd, you should address the complaint in writing to [complaints@10x.co.za](mailto:complaints@10x.co.za). The Compliance Management process is available on the 10X website at [www.10x.co.za](http://www.10x.co.za) and can also be obtained from 10X on request to [complaints@10x.co.za](mailto:complaints@10x.co.za).

If you cannot settle your complaint with us, you are entitled to refer it to the Office of the Ombud for Financial Service Providers (FAIS Ombud) at [info@faisombud.co.za](mailto:info@faisombud.co.za) or telephone number 086 032 4766 or lodge your complaint with the Office of the Pension Funds Adjudicator (OPFA) in terms of the Pension Funds Act, 24 of 1956. The FAIS Ombud and OPFA has been created to provide you with a redress mechanism for any inappropriate financial advice that you feel may have been given to you by a financial services provider.

## Compliance officer

Mandlenkosi Hendricks CO 6968, together with Independent Compliance Services (Pty) Ltd (Registration number: 2003/020695/07) (ICS) and Practice number 1258 act as the compliance officers for 10X Investments (Pty) Ltd. The physical address of ICS is Office 9, Heritage Square, Cnr Gladstone and Vrede Street, Durbanville, 7551. The contact person is Ms. C de Jager, Tel 021 975 6597 or email: [christelle@complianceservices.co.za](mailto:christelle@complianceservices.co.za).

## Disclaimer

You should note that there are risks involved in buying or selling any financial product, and past performance of a financial product is not necessarily indicative of the future performance. The value of financial products can increase as well as decrease over time, depending on the value of the underlying securities and market conditions.



10X Investments (Pty) Ltd: The Terraces, 14th Floor, Office 01401, Cnr Bree and Waterkant Street, Cape Town, 8001  
T: 021 412 1010 E: [info@10x.co.za](mailto:info@10x.co.za)

Sonja De Bruyn (Non-executive chair), Tobias van Heerden (CEO), Samer Salty (Director), Marc Balkin (Non-executive director), Burchert Johannes (Non-executive director), Kelly Ford (Non-executive director), Hendrik Beets (Non-executive director), Jacob Myburgh (Non-executive director)

10X Investments is a licensed Financial Services Provider #28250 and S13B Pension Funds Administrator #24/444 | Registration #2005/033587/07